

01/09/02
JC511 U.S. PTO

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Atty. Dkt. No. 057799-2002

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JC971 U.S. PTO
10/04/02
01/09/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: MICHAEL D. BROWN

Title: METHOD AND APPARATUS FOR
ESTABLISHING AND
ADMINISTERING A CHARITABLE
GIFT TRANSFER PLAN

Appl. No.: TBA

Filing Date: HEREWITH

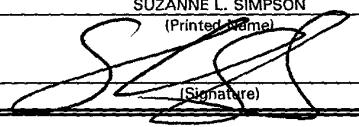
Examiner: TBA

Art Unit: TBA

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, Washington, D.C. 20231

EL811325821US 1/9/02
(Express Mail Label Number) (Date of Deposit)

SUZANNE L. SIMPSON
(Printed Name)

(Signature)

**UTILITY PATENT APPLICATION
TRANSMITTAL**

Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

Michael D. Brown
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Irvine, CA 92612

Applicant claims small entity status under 37 CFR 1.27.

Enclosed are:

- Specification, Claim(s), and Abstract (11 pages).
- Informal drawings (2 sheets, Figures 1-2).
- Declaration and Power of Attorney (3 pages).
- Assignment of the invention to Spectrum Group Investments, LLC.
- Assignment Recordation Cover Sheet.
- Small Entity statement.

Request for application not to be published with certification under 35 USC 122(b)(2)(B)(i).

Information Disclosure Statement.

Form PTO-1449 with copies of ___ listed reference(s).

Application Data Sheet (37 CFR 1.76).

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$740.00	\$740.00
Total Claims:	13	- 20	= 0	x \$18.00	= \$0.00
Independents:	3	- 3	= 0	x \$84.00	= \$0.00
If any Multiple Dependent Claim(s) present:				+ \$280.00	= \$0.00
				SUBTOTAL:	= \$740.00
<input checked="" type="checkbox"/> Small Entity Fees Apply (subtract 1/2 of above):					= \$370.00
				TOTAL FILING FEE:	= \$370.00

A check in the amount of \$370.00 to cover the filing fee is enclosed.

The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date Jan. 9, 2002

By Bernard L. Kleinke

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